

Art 4 statement:

The below applies to all Sub-Funds of Private Capital Pool SICAV-SIF:

Luxembourg Investment Solutions S.A. (“LIS”) acts as alternative investment fund manager (“AIFM”) for Private Capital Pool SICAV-SIF. LIS recognizes the importance of ESG issues and their significant impact on the funds under LIS’ management with regards to capital raising, making investments, portfolio management and value creation at portfolio company level, as well as their potential material impact on the world and the society. Furthermore, LIS is a subsidiary of Sanne Group Plc. Sanne Group Plc is a signatory of the United Nations-supported Principles for Responsible Investments (“UNPRI” or “PRI”). The PRI is recognised as leading global network for investors committed to integrating ESG considerations into investment practices.

Funds under LIS management are expected to integrate ESG considerations, meaning that, where relevant: a) Portfolio Managers and Investment Advisers shall consider ESG opportunities, material sustainability risks and principal adverse ESG impacts (PAI) in their selection sourcing processes, while sourcing investments, and as part of their on-going review of the portfolio of assets, b) LIS Risk Management team shall manage exposure to identified major sustainability risks defined and identified for the relevant funds, and c) Portfolio Managers and Investment Advisers are encouraged to collect ESG reporting from portfolio companies and to produce ESG reporting on the fund assets.

For the Private Capital Pool SICAV-SIF, HSBC Germany, acts as adviser to the AIFM. Under the terms of the Service Agreement, the adviser provides services particularly with regard to the preselection of suitable target investments, the commercial due diligence of target funds identified by the AIFM as potential investments and the coordination and support of the legal due diligence. The consideration and assessment of material sustainability risks, ESG opportunities and PAIs are key to selection processes. However, the launch of Private Capital Pool SICAV-SIF sub funds as well as the investments selection process of targets funds is usually based on a “blind pool” approach. Therefore, on both levels, sub funds and target funds, no assurance can be given that information and data will be available that would meet the requirements of the Sustainable Finance Disclosure Regulation (SFDR) As a consequence, on entity level the Private Capital Pool SICAV-SIF is not able to consider principal adverse impacts of investment decisions on sustainability factors within the meaning of SFDR. Nevertheless, principal adverse impacts of investment decisions on sustainability factors may be considered at a future date in accordance with the applicable regulatory requirements, subject to the availability and provision of the required information and data.